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PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032 Please type a plus sign (+) inside this box U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. woods, Michelle First Inventor New and Improved Sanitary Toothbrush

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No. ET 471487255US

Selection	APPLICATION ELEMENTS	ASsistant Commissioner for Patents Box Patent Application Washington, DC 20231				
Computer program (Appendix) Applicant claims small entity status. See 37 CFR 1.27. See 37 CFR 1.27. See 37 CFR 1.27. Computer Readable Form (CRF) Descriptive title of the invention (Information anappends set forth below) Descriptive title of the invention (Information anappends set forth below) Descriptive title of the invention or correct forth selection or a computer program listing appendix or a computer program listing application program listing application program listing application program listing application parts. Statement (Despiration application program listing appendix and p	See MPEP chapter 600 concerning utility patent application contents.					
2. Septification Specification						
See 37 CFR 1.27. See 37 CFR 1.27. (if applicable, all necessary)		8. Nucleotide and/or Amino Acid Sequence Submission				
Continuation design and the below	See 37 CFR 1.27.	(if applicable, all necessary)				
Descriptive title of the invention Cross Reference to Related Applications Statement Regarding Fed sponsored R & D Reference to Sequence Listing, a table, or a computer program listing appendix Background of the Invention Brief Summary of the Invention Brief Summary of the Invention Claim(s) Detailed Description Claim(s) Abstract of the Disclosure Abstract of the Disclosure In Invention		a. Computer Readable Form (CRF)				
- Statement Regarding Fed sponsored R & D - Reference to sequence listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. Drawing(s) (35 U.S.C. 113)	- Descriptive title of the invention	b. Specification Sequence Listing on:				
- Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. ☑ Drawing(s) (35 U.S.C. 113)	Cross Reference to Related Applications Statement Regarding Fed sponsored R & D	i. CD-ROM or CD-R (2 copies); or				
- Background of the Invention - Brief Summary of the Invention - Claim(s) - Abstract of the Disclosure 4. Drawing(s) (35 U.S.C. 113) [Total Sheets B	- Reference to sequence listing, a table,	i i. 🔲 paper				
- Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4.		c. Statements verifying identity of above copies				
- Betailed Description - Claim(s) - Abstract of the Disclosure 4.	- Brief Summary of the Invention	ACCOMPANYING APPLICATION PARTS				
- Claim(s) - Abstract of the Disclosure 4. Drawing(s) (35 U.S.C. 113) [Total Sheets	- Brief Description of the Drawings (if filed)					
Abstract of the Disclosure 10. when there is a nasisgnee)						
11 English Translation Document (if applicable) 5. Oath or Declaration		10. (when there is an assignee) Attorney				
5. Oath or Dectaration a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) b. (for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)) 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriete box, and supply the requisite information below and in a preliminary amendment, or in an Application information Divisional Continuation-in-part (CIP) Prior application information: Examiner For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application and is hereby incorporated by reference. The incorporation gen only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Richard A. Catalina, Tv. Esq. (45, 372) Catalina + Associates, Monmouth Shores Caypoyate Park Address City Neptune Statement (IDS)/PTO-1449 13. Preliminary Amendment Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. (Gretified Copy of Priority Document(s) (If foreign priority is claimed) 15. (Should be specifically itemized) 16. (Norpublication Receipt Postcard (MPEP 503) (Should be specifically itemized) 16. (Dy(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. Other: 17. Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or its equivalent. 17. other: 18. If a CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application non-prior application information below and in a preliminary amendment, or prior application non-prior application information below and in a preliminary amendment, or prior application non-prior application non-prior application and is hereby incorporated by reference. 19. CORRESPONDENCE ADDRESS Or Manuel A. Catalina, Tv. Esq. (45, 372) Catal	Drawing(s) (35 U.S.C. 113) Total Sheets 8	11. English Translation Document (if applicable)				
a. Newly executed (original or copy) a. Newly executed (original or copy) b. Gopy from a prior application (37 CFR 1.63 (d)) b. Grootinuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) i. DELETION or Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) Prior application information: Prior application information: Examiner Croup Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an eath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Richard A. Cartalina, Tv. Esq. (45, 372) Catulina + A Sociates, Monmouth Shores Cayporath Park Address City Neature Title Data States Telephone 132-919-6060 Fax 131-919-322	4, 2,3	. 112				
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i. DELETION OF INVENTOR(S) Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Information: Exeminer Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application information and is hereby incorporated by reference. The Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Stinsert Customer No. or Attach bar code label hereby Customer Number or Bar Code Label Richard A. Catalina, Tv., Esq. (45, 372) Catalina + A ssociates, Monmouth Shores Corporate. Park Address 1350 Campus Parkway State New Tersey Customer Number or Bar Code Label Name Richard A. Catalina Tv., Esq. (45, 372) Catalina + A ssociates, Monmouth Shores Corporate. Park Address City Neptune State New Tersey Zip Code 07753	a. Newly executed (original or copy)	· · · ·				
Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. Other: Ot	b. (for continuation/divisional with Box 18 completed)	(Should be specifically itemized)				
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1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (c) its equivalent. (d)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (e)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (f)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (g)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (f)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (h)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (h)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (h)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (h)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (h)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (h)(2)(B)(i). Applicant must attach form respondent.	named in the prior application, see 37 CFR	16 Nonpublication Request under 35 U.S.C. 122				
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) Of prior application No.: Prior application information: Examiner Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label (Insert Customer No. or Attach ber code label/hera) Name Richard A. Catalina, Tv., Esq. (45, 372) Catalina + Associates, Monmouth Shoves Corporate Park Address 1350 Campus Parkway Suite 200 City Neptune State New Jersey Zip Code 07753 Telephone 132-919-6060 Fax 132-919-322	6. Application Data Sheet. See 37 CFR 1.76	<u>- </u>				
or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner Group At Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label (Insert Customer No. or Allach ber code label her Park) Name Richard A. Catalina, Tv., Esq. (45, 372) Catalina + A Ssociates, Monmouth Shores Corporate Park 1350 Campus Parkway, Suite 200 City Neptune State New Tersey Zip Code 07753 Telephone 132-919-6060 Fax 132-919-322						
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Name (Printi Type) N. A. G. A. M. Negistration No. Price (Printi Type)	Name (Print/Type) Richard A. Catalina,	Ty. Registration No. (Attorney/Agent) 45, 372				
Signature Date 11-09-01	Signature Week Water.	und.				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time vill vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 562.00

Complete if Known				
Application Number				
Filing Date	11-09-01			
First Named Inventor	Woods, Michelle Deanna			
Examiner Name				
Group Art Unit				
Attorney Docket No.				

METHOD OF PAYMENT	FEE CALCULATION (continued)	FEE CALCULATION (continued)		
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES			
Deposit	Large Small Entity Entity			
Account Sol472		ee Paid		
Account Richard A. Catalina, Jr. 1859	105 130 205 65 Surcharge - late filing fee or oath			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
Applicant claims small entity status.	139 130 139 130 Non-English specification			
See 37 CFR 1.27 2. Payment Enclosed:	- 147 2,520 147 2,520 For filing a request for ex parte reexamination			
2. Payment Enclosed: Check Credit card Money Order Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month			
Large Entity Small Entity	116 390 216 195 Extension for reply within second month			
Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 890 217 445 Extension for reply within third month			
404 740 004 663 1111111111111111111111111111111111	118 1,390 218 695 Extension for reply within fourth month			
101 710 201 (355) Utility filing fee 355	128 1,890 228 945 Extension for reply within fifth month			
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal			
108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal			
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing			
	138 1,510 138 1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 355	140 110 240 55 Petition to revive - unavoidable			
2. EXTRA CLAIM FEES Fee from	141 1,240 241 620 Petition to revive - unintentional			
Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)			
Total Claims 28 -20" = 8 x 9 = 12	143 440 243 220 Design issue fee			
Independent 3** = (2) X (40) = 0	144 600 244 300 Plant issue fee			
Multiple Dependent [35] = 135	122 130 122 130 Petitions to the Commissioner			
	123 50 123 50 Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt			
Code (\$) Code (\$) 103 18 203 (9) Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)			
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection			
104 270 204 35 Multiple dependent claim, if not pald	(37 CFR § 1.129(a)) 149 710 249 355 For each additional invention to be			
109 80 209 40 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))			
110 18 210 9 ** Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RCE)			
and over original patent	169 900 169 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 207	Other fee (specify)			
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	0		

SUBMITTED BY	UBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Richard A. Catalina, Jr., Esa (Attorney/Agent) 45,372	Telephone	732-919-6060	
Signature	Sulo a tillium	Date	11-09-01	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Catalina & Associates

A New Jersey Limited Liability Company Counselors At Law

Monmouth Shores Corporate Park 1350 Campus Parkway, Suite 200 Neptune, New Jersey 07753 Phone (732) 919-6060 Fax (732) 919-3220

rcatalina@newtechlegal.com

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Richard A. Catalina, Jr., Esq.

William J. Connelly, Esq.

Registered United States Patent Attorney (45,372) Admitted to the Bars of the State of New Jersey Commonwealth of Pennsylvania District of Columbia Registered United States Patent Attorney (44,086)

Admitted to the Bars of the States

of New Jersey and New York

Friday, November 09, 2001

VIA UNITED STATES EXPRESS MAIL ET471487255US

Assistant Commissioner of Patents BOX – Patent Application Washington, DC 20231 ET 471487255 US;

RE: <u>Application</u> – New and Improved Sanitary Toothbrush by Deanna Michelle Woods and Daniel Ferrante

Dear Sir or Madam:

Enclosed for filing with the Untied States Patent and Trademark Office are the following materials relative to the above application for a United States patent:

- 1. Utility Patent Application Transmittal;
- 2. Power of Attorney;
- 3. Fee Transmittal Form;
- 4. Declaration for Utility Patent Application
- 5. Information Disclosure Statement by Applicant;
- 6. Specification (24 pages total), comprising:
 - i) Background of the Invention;
 - ii) Summary of the Invention;

- iii) Brief Description of the Drawings;
- iv) Detailed Description of the Preferred Embodiments;
- v) Claims (commencing on separate page), 15 total claims; and
- vi) Abstract (commencing on separate page).
- 7. Copies of patents cited in Information Disclosure Statement;
- 8. Two (2) sets of fifteen (15) Drawings (eight pages per set); and
- 9. Stamped receipt postcard for return.

Please charge all fees incurred in connection with the above application to this firm's Deposit Account 501472.

Kindly mark the enclosed stamped postcard that the USPTO as having received the above materials and return same to me via regular mail.

Thank you for your attention to this matter. Should you have any questions, please do not hesitate to contact me.

Very truly yours,

RICHARD A. CATALINA, JR,

RAC/md Enc.

cc: Deanna Michelle Woods

Daniel Ferrante